

BILL OF RIGHTS FOR DATA PRIVACY AND SECURITY -

SUPPLEMENTAL INFORMATION FOR CONTRACTS THAT UTILIZE PERSONALLY IDENTIFIABLE INFORMATION

Pursuant to Education Law § 2-d and Section 121.3 of the Commissioner’s Regulations, the educational Agency (EA) is required to post information to its website about its contracts with third-party contractors that will receive Personally Identifiable Information (PII).

<p>Name of Contractor</p>	<p>Harris Forms</p> <hr/>
<p>PII Declaration</p>	<p>Does your organization/software collect student personally identifiable information (PII) or staff PII?</p> <p>Examples of student PII:</p> <ul style="list-style-type: none"> a. The student’s name; b. The name of the student’s parent or other family members; c. The address of the student or student’s family; d. A personal identifier, such as the student’s social security number, student number, or biometric record; e. Other indirect identifiers, such as the student’s date of birth, place of birth, and Mother’s Maiden Name; <p>Examples of staff APPR PII:</p> <ul style="list-style-type: none"> a. Teacher ID b. Name c. Birthdate d. Gender e. Race f. Salary <p><input type="checkbox"/> IF YOUR ORGANIZATION/SOFTWARE DOES NOT COLLECT PII, CHECK THIS BOX AND SKIP TO THE BOTTOM, SIGN AND SUBMIT.</p> <p>If you collect the PII information above, please complete the remainder of this form.</p>
<p>Description of the purpose(s) for which Contractor will receive/access PII</p>	<p>E-file 1095-C</p>
<p>Type of PII that Contractor will receive/access</p>	<p>Check all that apply:</p> <p><input type="checkbox"/> Student PII</p> <p><input checked="" type="checkbox"/> APPR PII</p>

Contract Term	Contract Start Date <u>11/29/2022</u> Contract End Date <u>03/31/2023</u>
Subcontractor Written Agreement Requirement	Contractor will not utilize subcontractors without a written contract that requires the subcontractors to adhere to, at a minimum, materially similar data protection obligations imposed on the contractor by state and federal laws and regulations, and the Contract. (check applicable option) <input type="checkbox"/> Contractor will not utilize subcontractors. <input checked="" type="checkbox"/> Contractor will utilize subcontractors.
Data Transition and Secure Destruction	Upon expiration or termination of the Contract, Contractor shall: <ul style="list-style-type: none"> • Securely transfer data to EA, or a successor contractor at the EA's option and written discretion, in a format agreed to by the parties. • Securely delete and destroy data.
Challenges to Data Accuracy	Parents, teachers or principals who seek to challenge the accuracy of PII will do so by contacting the EA. If a correction to data is deemed necessary, the EA will notify Contractor. Contractor agrees to facilitate such corrections within 21 days of receiving the EA's written request.
Secure Storage and Data Security	Please describe where PII will be stored and the protections taken to ensure PII will be protected: (check all that apply) <input checked="" type="checkbox"/> Using a cloud or infrastructure owned and hosted by a third party. <input type="checkbox"/> Using Contractor owned and hosted solution <input type="checkbox"/> Other:
Encryption	Data will be encrypted while in motion and at rest.
<p style="text-align: center;">Please describe how data security and privacy risks will be mitigated in a manner that does not compromise the security of the data: We have comprehensive security policies and procedures to ensure data security and privacy contract requirements are implemented. We have independent SOC 2 Type 2 audits, annual penetration testing and quarterly PCI audits to ensure security policies and procedures are implemented and effective.</p>	

CONTRACTOR'S DATA PRIVACY AND SECURITY PLAN

The Educational Agency (EA) is required to ensure that all contracts with a third-party contractor include a Data Security and Privacy Plan, pursuant to Education Law § 2-d and Section 121.6 of the Commissioner's Regulations. For every contract, the Contractor must complete the following or provide a plan that materially addresses its requirements, including alignment with the NIST Cybersecurity Framework, which is the standard for educational agency data privacy and security policies in New York state. **While this plan is not required to be posted to the EA's website, contractors should nevertheless ensure that they do not include information that could compromise the security of their data and data systems.**

1	Outline how you will implement applicable data security and privacy contract requirements over the life of the Contract.	We have comprehensive security policies and procedures to ensure data security and privacy contract requirements are implemented. We have independent SOC 2 Type 2 audits, annual penetration testing and quarterly PCI audits to ensure security policies and procedures are implemented and effective.
2	Specify the administrative, operational and technical safeguards and practices that you have in place to protect PII.	Our control baselines include the PCI Data Security Standard (DSS), IRS Publication 1075 (Tax Information Security Guidelines) and HITRUST Common Security Framework (CSF) controls. Each one of those baselines includes administrative, operational and technical security controls. Below is a high level
3	Address the training received by your employees and any subcontractors engaged in the provision of services under the Contract on the federal and state laws that govern the confidentiality of PII.	All employees and contractors are required to take the basic security training, phishing and data privacy courses. In addition, developers are required to take secure software development and SDLC courses.
4	Outline contracting processes that ensure that your employees and any subcontractors are bound by written agreement to the requirements of the Contract, at a minimum.	Employees and contractors are required to sign non-disclosure agreements. The requirements of the contract are implemented in procedures and validated via security audits.
5	Specify how you will manage any data security and privacy incidents that implicate PII and describe any specific plans you have in place to identify breaches and/or unauthorized disclosures, and to meet your obligations to report incidents to the EA.	We have a centralized Security Information and Event Management (SIEM) system where security logs and alerts are sent. The centralized Incident Response team manages all security incidents and coordinates required remediation. Security incidents that involve customer PII, FTT or PCI are reported to the appropriate authorities and to customers if they are impacted by the incident.
6	Describe how data will be transitioned to the EA when no longer needed by you to meet your contractual obligations, if applicable.	The application owner would work with the development manager to format, extract, encrypt and securely transport the data to the data owner if needed. We also sanitize the data using approved, compliant tools once we have met data retention requirements.
7	Describe your secure destruction practices and how certification will be provided to the EA.	We use a vendor to provide secure destruction of sensitive data both in paper and electronic forms. Secured paper bins are placed in appropriate locations. The vendor picks up the bins for secure destruction. Hard drives, removable media and backup media are collected by the vendor and a security
8	Outline how your data security and privacy program/practices align with the EA's applicable policies.	Our data security policies align with NIST standards and guidelines as well as PCI, HITRUST and IRS standards.
9	Outline how your data security and privacy program/practices materially align with the NIST CSF v1.1	Although the e-filing system is not part of the nation's critical infrastructure, our security frameworks and baseline security controls incorporate the principles and associated controls outlined in the Identify, Protect, Detect, Respond and Recover model defined by the

Western Suffolk BOCES Education Law §2-d Bill of Rights for Data Privacy and Security

Parents (including legal guardians or persons in parental relationships) and Eligible Students (students 18 years and older) can expect the following:

1. A student's personally identifiable information (PII) cannot be sold or released for any Commercial or Marketing purpose. PII, as defined by Education Law § 2-d and the Family Educational Rights and Privacy Act ("FERPA"), includes direct identifiers such as a student's name or identification number, parent's name, or address; and indirect identifiers such as a student's date of birth, which when linked to or combined with other information can be used to distinguish or trace a student's identity. Please see FERPA's regulations at 34 CFR 99.3 for a more complete definition.
2. The right to inspect and review the complete contents of the student's education record stored or maintained by an educational agency. This right may not apply to Parents of an Eligible Student.
3. State and federal laws such as Education Law § 2-d; the Commissioner of Education's Regulations at 8 NYCRR Part 121, FERPA at 12 U.S.C. 1232g (34 CFR Part 99); Children's Online Privacy Protection Act ("COPPA") at 15 U.S.C. 6501-6502 (16 CFR Part 312); Protection of Pupil Rights Amendment ("PPRA") at 20 U.S.C. 1232h (34 CFR Part 98); and the Individuals with Disabilities Education Act ("IDEA") at 20 U.S.C. 1400 et seq. (34 CFR Part 300); protect the confidentiality of a student's identifiable information.
4. Safeguards associated with industry standards and best practices including, but not limited to, encryption, firewalls and password protection must be in place when student PII is stored or transferred.
5. A complete list of all student data elements collected by NYSED is available at www.nysed.gov/data-privacy-security/student-data-inventory and by writing to: Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234.
6. The right to have complaints about possible breaches and unauthorized disclosures of PII addressed. (i) Complaints should be submitted to: dpo@wsboces.org. (ii) Complaints may also be submitted to the NYS Education Department at www.nysed.gov/data-privacy-security/report-improper-disclosure, by mail to: Chief Privacy Officer, New York State Education Department, 89 Washington Avenue, Albany, NY 12234; by email to privacy@nysed.gov; or by telephone at 518-474-0937.
7. To be notified in accordance with applicable laws and regulations if a breach or unauthorized release of PII occurs.
8. Educational agency workers that handle PII will receive training on applicable state and federal laws, policies, and safeguards associated with industry standards and best practices that protect PII.
9. Educational agency contracts with vendors that receive PII will address statutory and regulatory data privacy and security requirements.

CONTRACTOR	
[Signature]	<i>Katie Hartman</i>
[Printed Name]	Katie Hartman
[Title]	Tax Forms Sales Coordinator
Date:	11/29/2022

January 13, 2022